

DSRIP Funding Pool Summary

OVERVIEW OF FUNDING STREAMS

This chart is a summary of all current funding streams available to Performing Provider Systems (PPSs) participating in the Delivery System Reform Incentive Payment (DSRIP) program. Other sources of funds may exist; some have already been distributed, such as the Interim Access Assurance Program (IAAF) and DSRIP Design Grants, while insufficient information may be available to discuss others.

Question	Net Project Valuation (NPV)	Equity Infrastructure Program (EIP)	Equity Performance Program (EPP)	High Performance Pool (HPP)	Additional High Performance Pool (AHPP)	Value Based Payment Quality Improvement Program (VBP QIP)
How much total funding is available?	\$5.32 billion	\$938 million	\$642 million	\$239 million + funding withheld from PPSs that fail to meet goals	\$250 million	\$290 million - \$390 million
How much total funding has been distributed to date?	\$582 million	\$0	\$0	\$0	\$0	Not public
What is the source of funding?	Federal funds through the MRT Waiver	State funds		Federal funds through the MRT Waiver	State funds	State funds + federal matching funds
Which PPSs are eligible?	All	Safety net PPSs that are not undertaking the 11 th project; some public PPSs		All		PPSs that are linked to a financially distressed safety net hospital 1 st year: A subset of hospitals that are existing VAPAP participants Future: Other facilities may apply
How much funding can each PPS receive?	Maximum amount specific to each PPS, based on application scoring and the number of Medicaid members attributed to the PPS	Amount determined by the State		Up to 30% of project valuation for applicable projects Amount received varies based on attribution	No limit specified	PPS is not the end recipient of funding Amount needed by facilities determined by the State

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What criteria must PPSs meet to receive funds?	Meeting standard goals on performance and reporting metrics for DSRIP projects Closes gap to state performance goal by 10%	Participating in at least 4 of 9 “key activities” that will supplement DSRIP goals (e.g., HIT infrastructure investment)	Meeting goals on a subset of 6 performance metrics for DSRIP projects (selected by PPS and MCO from a State-approved list)	Meeting high performance goals on performance metrics Tier 1: closes gap to state performance goal by 20% or more; Tier 2: exceeds state performance goal	Meeting high performance goals on performance metrics Same as or similar to HPP	PPSs will not receive funds themselves; funds should be passed through to the associated distressed hospital
What happens to funds that are not disbursed (e.g., because a PPS fails to meet criteria)?	Undisbursed funds will be placed into the HPP	Unknown; funds may be retained by MCOs		Undisbursed funds will be rolled over for one year and disbursed first in the next year Any funds that still remain after one year will be forfeited	Unknown; funds may be retained by MCOs	Unknown; funds may be retained by MCOs
How will the funds flow work?	The State will make payments to PPSs for reporting and performance on the outcome metrics associated with projects, as reported quarterly to the State and scored by the DSRIP Independent Assessor	1) The State will provide a PMPM rate adjustment add-on to MCOs 2) MCOs will disburse funds to PPSs that meet criteria		1) Waiver funds are set aside by the State for the pool 2) Funds withheld from PPSs that fail to meet goals are added to pool 3) The State will make payments to PPSs based on performance metrics	1) The State will provide a PMPM rate adjustment add-on to MCOs 2) MCOs will disburse funds to PPSs that meet criteria	1) The State will provide a PMPM add-on to MCOs 2) MCOs will distribute funds to PPSs 3) PPSs will provide funds to facilities Details of disbursement are negotiated by the MCO, PPS, and facility
When will payments be made?	Semi-annually 3 payments in Year 1	Monthly		Annually, starting at the end of Year 2	Monthly	Monthly, subject to change as the program evolves
Is funding subject to further federal limitations or penalties?	The DSRIP program must collectively meet statewide performance goals or a percentage of funds will be withheld	No		No; funds allocated to the HPP will not be withheld even if statewide penalties are applied to NPV funds	No	Amount is subject to federal match

Current as of: 2/4/16

FUNDING LEVELS BY PPS

This chart contains valuations that were awarded to each of the 25 PPSs, as announced by the State in 2015. Please note that:

- All funding amounts below are estimated totals over the five-year DSRIP waiver period (through March 2020).
- NPV amounts are fixed and will not change at least until the DSRIP midpoint evaluation, currently scheduled to be completed sometime before April 2017. After the midpoint evaluation, structural changes to PPSs, including rerunning the attribution process, may occur.
- HPP and AHPP funds will be distributed from a total statewide fund determined each year (e.g., in DSRIP Year 3, about \$77 million, plus funds withheld from PPSs during DSRIP Year 2). The amount each PPS receives will be based on the number of projects for which it has met high performance goals and weighted by the PPS's attribution for performance (A4P). Since all of these factors are subject to change and based on performance, **it is not currently possible to determine what each PPS's allocation will eventually be.**

PPS	Net Project Valuation (NPV)	Equity Infrastructure Program (EIP)	Equity Performance Program (EPP)	Value Based Payment Quality Improvement Program (VBP QIP)
Adirondack Health Institute	\$178,064,187			
Advocate Community Providers	\$339,893,561	\$174,804,392	\$116,536,261	\$51,993,881 (Brookdale)
Albany Medical Center Hospital	\$133,974,888			
Alliance for Better Health Care (Ellis)	\$237,058,615			
Bronx-Lebanon Hospital Center	\$72,695,724	\$39,636,387	\$26,424,258	
Community Partners of Western New York	\$43,394,151	\$23,856,680	\$15,904,454	
Finger Lakes PPS	\$533,867,539			
Lutheran Medical Center/NYU	\$69,141,892	\$27,720,214	\$18,480,143	
Maimonides Medical Center	\$219,214,536	\$132,814,132	\$88,542,755	\$73,880,436 (Interfaith, Kingsbrook, Wyckoff Heights)
Mohawk Valley PPS	\$67,388,793			

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PPS	Net Project Valuation (NPV)	Equity Infrastructure Program (EIP)	Equity Performance Program (EPP)	Value Based Payment Quality Improvement Program (VBP QIP)
Montefiore Hudson Valley	\$123,099,494	\$60,923,394	\$40,615,596	\$21,758,464 (New Rochelle, Mount Vernon, Nyack)
Mount Sinai PPS	\$138,789,348	\$127,005,338	\$84,670,226	
New York Hospital Medical Center of Queens	\$11,604,191	\$10,179,141	\$6,786,094	
New York Presbyterian	\$48,757,912	\$23,628,005	\$15,752,003	
Refuah Community Health Collaborative	\$21,485,426	\$11,789,444	\$7,859,629	\$2,308,021 (Good Samaritan)
Samaritan Medical Center	\$73,818,783			
Southern Tier PPS (STRIPPS)	\$213,618,544			
St. Barnabas Hospital PPS	\$170,067,148	\$105,642,873	\$70,428,582	
Staten Island PPS	\$208,954,006			
Central New York (CNYCC) PPS	\$158,402,178	\$86,911,728	\$65,183,796	
Millennium Collaborative Care (ECMC)	\$191,461,931	\$19,769,602	\$14,827,201	
Nassau-Queens PPS	\$447,293,833	\$34,347,048	\$25,760,286	\$7,724,637 (St. John's Episcopal)
HHC PPS	\$1,169,695,304			\$120,000,000 (HHC)
Stony Brook Hospital	\$181,115,320	\$58,971,622	\$44,228,717	
Westchester Medical Center	\$264,185,188			\$9,806,745 (Benedictine, Bon Secours, Good Samaritan)
Total	\$5,317,042,492	\$938,000,000	\$642,000,000	\$287,472,184

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